

#3 Patent  
264/276

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of: )  
Christian Beisele et al. ) Group Art Unit: 3642  
Serial No.: 09/857,684 )  
Filed: June 7, 2001 ) Examiner: Not known  
For: HYDROPHOBIC EPOXIDE RESIN )  
SYSTEM )

INFORMATION DISCLOSURE STATEMENT

RECEIVED  
JAN 07 2002  
TC 1700

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In accordance with 37 CFR §§ 1.97 and 1.98, the items identified in this Information Disclosure Statement (“IDS”) are brought to the attention of the Office. The items are listed on the attached form PTO-1449 and copies are enclosed for the convenience of the Examiner.

The items identified in this IDS may or may not be “material” pursuant to 37 CFR § 1.56. The submission thereof by Applicant is not to be construed as an admission that any such patent, publication or other information referred to therein is material or considered to be material (37 CFR § 1.97(h)), or even qualifies as “prior art” under 35 USC § 102 with respect to this invention unless specifically designated by Applicant as such.

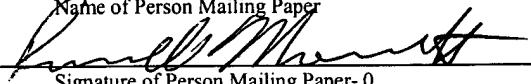
INFORMATION DISCLOSURE STATEMENT FILING PROVISION:

This IDS is believed to be timely in that it is being submitted under 37 CFR § 1.97(b), that is (1) within three months of the filing date of the application, which is not a continued prosecution application filed under § 1.53(d); or (2) within three months of entry of the national stage as set forth in 37 CFR § 1.491; or (3) before the mailing of a first Office action on the merits; or (4) before the mailing of a first Office action after filing a request for continued examination under § 1.114. Thus, no fee is required.

CERTIFICATE OF MAILING  
(37 C.F.R. §1.8a)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as First Class Mail in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231.

September 13, 2001  
Date of Deposit

Russell Morrisette  
Name of Person Mailing Paper  
  
Signature of Person Mailing Paper-0

- However, if the undersigned is in error in this regard, Applicant respectfully requests that the Office consider this IDS as filed under 37 CFR § 1.97(c), if applicable, and charge the fee due under 37 CFR § 1.17(p) to the deposit account referenced below.
- However, if the undersigned is in error in this regard, Applicant respectfully requests that the Office consider this IDS as filed under 37 CFR § 1.97(c), if applicable, and a statement under 37 CFR § 1.97(e) is included below, thus no fee is required.
- This IDS is being submitted under 37 CFR § 1.97(c), that is after mailing of a first Office action on the merits, but before a Final Action under 37 CFR § 1.113 or a Notice of Allowance under 37 CFR § 1.311.
  - The fee due under 37 CFR § 1.17(p) is submitted herewith.
  - A statement under 37 CFR § 1.97(e) is included below, thus no fee is required. In the event that this IDS is not received before a Final Action or a Notice of Allowance, then Applicant respectfully requests that the Office consider the filing of these papers to be submitted under 37 CFR § 1.97(d) and charge the fee due under 37 CFR § 1.17(p) to the deposit account below.
- This IDS is being submitted under 37 CFR § 1.97(d), that is after a Final Action under 37 CFR § 1.113 or a Notice of Allowance under 37 CFR § 1.311, but before payment of the issue fee. A statement under 37 CFR § 1.97(e) is included below. The fee due under 37 CFR § 1.17(p) is submitted herewith.

**STATEMENT UNDER 37 CFR § 1.97(e):**

- Each item contained in this IDS was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this IDS.
- No item contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this statement after making reasonable inquiry, no item of information contained in this IDS was known to any individual designated in 37 CFR § 1.56(c) more than three months prior to the filing of this IDS.

**PAYMENT AND/OR AUTHORIZATION TO CHARGE FEES:**

A check in the amount of \_\_\_\_\_ is enclosed for the above fee(s).

Please charge \_\_\_\_\_ to Deposit Account No. 12-2475 for the above fee(s).

The Commissioner is authorized to charge any fees required by the filing of these papers, and to credit any overpayment to Lyon & Lyon's Deposit Account No. 12-2475.

Respectfully submitted,  
LYON & LYON LLP

Dated: September 13, 2001

By: Kristin H. Neuman  
Kristin H. Neuman  
Reg. No. 35,530



22249

LYON & LYON LLP  
633 W. Fifth Street,  
Suite 4700  
Los Angeles, CA 90071  
(914) 681-8851 x4603

ATTY. DOCKET NO.  
264/276SERIAL NO.  
09/857,684LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S  
INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

APPLICANT:  
C. Beisele et al..FILING DATE:  
June 7, 2001GROUP:  
3642

SEP 17 2001

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
AA	6,048,946	04/11/00	Beisele			
AB	4,336,363	06/22/82	Crivello			
AC	4,058,401	11/15/77	Crivello			
AD	3,926,885	12/16/75	Keil			
AE						

RECEIVED  
JAN 17 2002  
TO 1100

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES NO
AF	WO 98/32138	07/23/98	WIPO			
AG	EP 0 673 104 A1	03/03/95	EPO (Germany)			
AH	EP 0 580 552 A1	07/13/93	EPO (Germany)			
AI	EP 0 449 776 A2	03/21/91	EPO (Germany)			
AJ	EP 0 379 464 A1	01/08/90	EPO (Germany)			
AK	JP 2-305454	05/19/89	JAPAN (plus an English Translation)			
AL	EP 0 066 543 A2	05/26/82	EPO (Germany)			
AM						
AN						

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

AO	DuPont Specialty Chemicals Technical Information "Zonyl™ Fluorochemical Intermediates" 2335928, pps. 1-16 (1994)
AP	W. Patnode et al. "Methylpolysiloxanes" J. Am. Chem. Soc. Vol. 68, pp. 358-363 (1946)

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609;  
 Draw line through citation if not in conformance and not considered. Include a copy of this form with next  
 communication to applicant